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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Bert VOGELSTEIN *et al.* ) Group Art No. 1645  
)  
Serial No.: 09/784,305 ) Examiner: Bradley L. Sisson  
)  
Filed: February 16, 2001 ) Docket No: 01107.00103  
  
For: GENOME-BASED PERSONALIZED MEDICINE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(e)**

Assistant Director for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, enclosed is a PTO Form-1449 listing documents for consideration by the Examiner during the prosecution of the subject application and a copy of each of the identified documents.

Documents cited in this Supplemental Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

We believe no fee is required to make this a complete and timely filing. However, if a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

Dated: March 4, 2003

By: Lisa M. Hemmendinger  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/784,305
Filing Date	February 16, 2001
First Named Inventor	Bert VOGELSTEIN
Group Art Unit	
Examiner Name	
Attorney Docket Number	01107.00103

**RECEIVED****MAR 06 2003****TECH CENTER 1600/2900****FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 01/27324	04-19-2001			

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		YAN et al., "Genetic Testing -- Present and Future", Science, Vol. 289, No. 5486, 2000, pp 1890-1892, XP001097252	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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